

### Issuance of new securities certificate(s)

I/We \_\_\_\_\_  
 national I.D. card/passport/company Registration no. \_\_\_\_\_ as a securities holder of mutual fund  
 or company \_\_\_\_\_ securities certificate no. \_\_\_\_\_

for a total of \_\_\_\_\_ units/shares, I/We request the Thailand Securities Depository Co., Ltd. ("TSD")  
 to issue new share certificate due to :

<input type="checkbox"/> Loss	<input type="checkbox"/> Combination	<input type="checkbox"/> Deterioration of condition, e.g., torn
<input type="checkbox"/> The issuer has changed information on the share certificate(s), e.g., reduction of capital or changing the company's name.		
<input type="checkbox"/> My honorific/name/surname has changed to _____		
<input type="checkbox"/> Breaking down into smaller lots		
<input type="checkbox"/> Securities certificate per copy _____ units/shares; number of copies _____		
<input type="checkbox"/> Securities certificate per copy _____ units/shares; number of copies _____		
<input type="checkbox"/> Securities certificate per copy _____ units/shares; number of copies _____		
<input type="checkbox"/> Securities certificate per copy _____ units/shares; number of copies _____		

The new securities certificate should be sent to me:

by hand     by registered mail     by leaving them at the issuer company

Remarks: If change name/surname/address, please submit the application for amending securities holder records signed by securities holder which provided on [www.set.or.th/tsd](http://www.set.or.th/tsd)

If I am requesting a new securities certificate to replace one that was lost, I confirm that if I subsequently find the lost securities certificate, I will return it to TSD immediately. I/We hereby certify that the aforementioned statements are complete and true. Should TSD incur any direct or indirect damage, obligation or expense due to complying with my request as given above, I/we shall be fully responsible and shall unconditionally compensate TSD in full.

Please turn page over for list of required documents 

Signature \_\_\_\_\_ Securities holder  
 ( \_\_\_\_\_ ) Phone no. \_\_\_\_\_

**(If a proxy has been assigned, please sign as both the securities holder and the grantor)**

I/We here by authorize _____ as my/our representative, with full authority		Revenue stamp THB 10
<input type="checkbox"/> to file this application <input type="checkbox"/> to file this application and receive new securities certificate.		
Signature _____ Grantor ( _____ )	Signature _____ Grantee ( _____ ) Phone no. _____	

<p><b>For official use only</b></p> <p>Date _____ Transaction no. _____</p> <p>Checker _____</p>	<p>I have already checked all original identification documents</p> <p>Signature _____ Officer</p>
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1. Application for issuance new securities certificate(s) signed by securities holder.

2. Securities certificate

If the securities holder does not have a securities certificate, please attach the following:

- A report from any police station specifying the following details, which can be obtained from TSD.

① The securities holder's name ② Name of company issuing the securities ③ Securities certificate number ④ Amount of share

(Any copy of the report from a police station must be certified as true by the police and within the past 90 days.)

Remarks: In case, a report from any police station outside Thailand must have been:

- Certified true by notary public or agency of the government having jurisdiction where the document was executed.
- Certified true by the Thai Embassy or Consulate of Thailand in the country having jurisdiction over the affidavit of incorporation and notary Public whose stamp has been affixed.
- Certified within the past 12 months before being submitted to TSD.
- Translated into English if necessary.

3. **Identification documents**, according to the type of person. In case of other individuals, please contact SET Contact Center as shown at the bottom of the form.

If requesting a change of name/ surname, please attach application for amending securities holder records /the original securities certificate and a copy of the certificate showing a change of name or surname or marriage or divorce certificate as the case may be.

4. If the securities holder has deceased, attach the following documents certified true with an original signature of the estate administrator :

- A copy of the court decree appointing the administrator of the inherited estate and certificate final judgment, certified within the past 12 months before being submitted to TSD.
- A copy of the deceased securities holder's death certificate.
- The original of the person's Thai national I.D. card of the estate administrator.

Type of person	List of required documents
<b>Individual Person</b> <b>- Thai Nationality</b>	1. By Self-contact (Shareholder contact us personally) - The original of Thai National Identification Cards (Thai ID Cards) of Shareholder. 2. By Person authorized - The original of Thai ID Cards of Shareholder, as well as their certified true copies of Thai ID Cards. * <u>If the original of Thai ID Cards of Shareholder cannot be shown,</u> - The original of the document issued by the sub-district or district office, identifying to use those certified true copies instead of the original Thai ID Cards. This document must be certified within the past 90 days. 3. By Registered mail - Certified true copies of Thai ID Cards of Shareholder. * <u>If the police certificate was not reported by shareholder,</u> - The original of the document issued by the sub-district or district office, identifying to use those certified true copies instead of the original Thai ID Cards. This document must be certified within the past 90 days.
<b>Individual Person</b> <b>- Other Nationalities</b>	1. By Self-contact (Shareholder contact us personally) - The original of the signatory's alien identity card or passport of Shareholder. 2. By Person authorized - The original and a copy of the signatory's alien identity card or passport of Shareholder, as well as their certified true copies of the signatory's alien identity card or passport. * <u>If the original passport cannot be shown or the documents are being submitted by registered mail,</u> - The copy of the passport has to been certified by a notary public, and both the copy and notary public stamp certified by the Thai embassy or consulate. ◆ Certified within the past 6 months before being submitted to TSD. * <u>If the original signatory's alien identity card cannot be shown or the documents are being submitted by registered mail,</u> - Certificate of civil registration (within the past 90 days)
<b>Juristic Person</b> <b>- Thai Nationality</b>	1. A copy of the juristic person certificate issued by the Ministry of Commerce within the past 6 months, with the original signatures of directors authorized to represent the company or their representatives, following the company's conditions. 2. A copy of the national I.D. card/alien identity card/passport of directors who are authorized to represent the company, certified true with original signatures.
<b>Juristic Person</b> <b>- Other Nationalities</b>	1. A copy of the company's registration certificate issued by the regulating government agency. 2. A copy of the juristic person's affidavit of incorporation showing directors authorized to bind the company and conditions relating thereto, showing the company's headquarters location and authority of the signatory. This affidavit must be issued by an authorized official of the juristic person or the government agency having jurisdiction over the juristic person. 3. A copy of the passport or other official identity document of each authorized director signing this document, certified true with an original signature. <u>All documents for "Other Nationalities" above, must have been :</u> (1) The notary public or any competent authority in the country where such documents were prepared or certified correct, shall certify the signature of the person who prepared such documents, or who certified the accuracy of such documents. (2) An official of the Thai Embassy or Thai Consulate in the country where such documents were prepared or certified correct, shall certify the signature and the seal of the notary public or any other authority which performed the act under (1). (3) Certified within the past 6 months before being submitted to TSD. (4) Translated into English if necessary.

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